Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ter 🛱 Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete If Known						
Application Number	10/720,777					
Filing Date	11/24/2003					
First Named Inventor	John A. McMorris III					
Group Art Unit	2171					
Examiner Name						
Attomey Docket Number	35539					

			U.S. PATENT DOCUM	IENTS			
Examiner Initials*				Kind Co	of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/H.R./	AA	6,341,287	Sziklai et al.	01/22/2002			
/H.R./	AB	6,336,096	Jemberg	01/01/2002			
/H.R./	AC	6,112,151	Kruse	08/29/2000	_		
/H.R./	AD	5,664,112	Sturgeon et al.	09/02/1997	_		
				·			
_					···		
					·		
	L						

				FOREIG	ON PATENT DOCUMENTS	3		
Examiner Cite Initials* No.3	Cite	Cite Foreign Patent Document		Name of Patentee or	Date of Publication	Pages, Columns, Lines, Where Relevant	П	
	Office	Number	Kind Code <sup>3</sup> (If known)	Applicant of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Τ°	
		1				1		

Examiner Signature	/Heidi Riviere/	Date	11/08/2007
Signature		Considered	11/00/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

	-		Complete If Known		
Substitute for form 1449A/F			Application Number	10/720,777	
INFORMATION	DISCLOS	URE	Filing Date	11/24/2003	
STATEMENT BY	APPLIC	ANT	First Named Inventor	John A. McMorris	
Of Cluse B many shee	ts as necessar,	<b>(</b> )	Group Art Unit	2171	
(104 -			Examiner Name		
Sheet of 1	of	3	Attomey Docket Number	35539	

			U.S. PATENT DOCUME	ENTS	
Examiner Initials*	Cite No.'	U.S. Patent Document  Kind Code <sup>2</sup> Number (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/H.R./	AA	2004/0093264	Shimizu	05/13/2004	
	AB	2004/0049424	Murray et al.	03/11/2004	
	AC	2004/0039684	Sandor	02/26/2004	<u> </u>
	AD	2004/0015454	Raines et al.	01/22/2004	
	AE	2003/0229572	Raines et al.	12/11/2003	
	AF	2003/0172697	Sower	09/18/2003	
	AG	6,601,033	Sowinski	07/29/2003	
	AH	2003/0101070	Mahosky et al.	05/29/2003	
	ΑI	6,569,092	Guichon et al.	05/27/2003	
	AJ	2002/0188459	Erickson	12/12/2002	
	AK	2002/0173980	Daggett et al.	11/21/2002	
	AL	2002/0173979	Daggett ct al.	11/21/2002	
	AM	2002/0143693	Soestbergen et al.	10/03/2002	
	AN	2002/0084227	Sower	07/04/2002	
	AO	2002/0062594	Erickson	05/30/2002	
	ΑP	6,375,612	Guichon et al.	04/23/2002	
	AQ	2001/0032168	Adamson et al.	10/18/2001	
	AR	6,112,118	Hartnett	08/29/2000	
1/	AS	6,056,919	Markels, Jr.	05/02/2000	
V	ΑT	6,005,939	Fortenberry et al.	12/21/1999	

Examiner Signature	/Heidi Riviere/	Date Considered	11/08/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Index the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information, unless it contains a valid OMB control number

Γ.,				Complete If Known		
Sub	stitute for form 1449A/	PTO		Application Number	10/720,777	
	<b>EQRMATIO</b>			Filing Date	11/24/2003	
//P>	AXEMENT I	BY APPLIC	CANT	First Named Inventor	John A. McMorris	
1 0 6	Tuse as many sh	eets as nacessar,	V)	Group Art Unit	2171	
× - 40				Examiner Name		
inc. Birt	2	of	3	Attorney Docket Number	35539	

U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No. 1  U.S. Patent Document  Kind Code <sup>2</sup> Number (if known)		de <sup>3</sup> Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Colunus, Lines, Where Relevant Passages or Relevant Figures Appear				
/H.R.	/ AU	5,995,947	Fraser et al.	11/30/1999					
	A۷	5,975,020	Caveny et al.	11/02/1999					
	AW	5,963,923	Garber	10/05/1999					
	AX	5,924,083	Silverman et al.	07/13/1999					
	AY	5,915,209	Lawrence	06/22/1999					
	AZ	5,887,547	Caveny et al.	03/30/1999					
V	ВА	5,787,402	Potter et al.	07/28/1998					
					_				

Examiner Signature	/Heidi Riviere/	Date Considered	11/08/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

				Complete If Known		
Substitute for form 1449A/PTO				Application Number	10/720,777	
	RMATION			Filing Date	11/24/2003	
STATI	EMENT B	Y APPLI	CANT	First Named Inventor	John A. McMorris	
(u	se as many she	ets as necessa	rry)	Group Art Unit	2171	
				Examiner Name		
Sheet	3	of	3	Attorney Docket Number	35539	

				FOREI	GN PATENT DOCUMENTS	1		
Examiner Initials*		Cite No.3			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>e</sub>
Ή.	R./	BD	wo	2004/037747	Day et al.	05/06/2004		
1		BE	wo	2004/010366	Chicago Climate Exchange, Inc.	01/29/2004		
		BF	wo	2004/001541	Nuride, Inc.	12/31/2003		
		BG	wo	03/103864	Malkamaki	12/18/2003		
		вн	JР	2003331088	Tokyo Gas Co.Ltd.	11/21/2003		
		Bſ	wo	03/058386	Fannie Mae	07/17/2003		
		BJ	wo	03/058385	Fannie Mac	07/17/2003		
		вк	wo	02/071832	Air Logistics Limited	09/19/2002		
		BL	wo	02/045318	The Agricultural Gas Company	06/06/2002		
		ВМ	wo	02/37433	International Carbon Bank and Exchange	05/10/2002		
	1	BN	wo	00/65902	Markels	11/09/2000		
		ВО	wo	99/42814	Marino et al.	08/26/1999		
_		ВР	wo	99/01027	Environmentally Correct Concepts, Inc.	01/14/1999		

1			,	<del>,</del>
1	Examiner		Date	
	Signature	/Heidi Riviere/	Considered	11/08/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.